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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number 10/811,670

Filing Date March 29, 2004

First Named Inventor Brian N. Tufte

Art Unit unknown

Examiner Name unknown

Attorney Docket Number 1076.1101110

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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Remarks

Form PTO 1449
19 non-US patent references
Return Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Crompton Seager & Tufte		
Signature			
Printed name	Brian N. Tufte		
Date	October 13, 2004	Reg. No.	38,638

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Lynn Thompson	Date	October 13, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Examiner: unknown

Serial No.: 10/811,670

Group Art Unit: unknown

Filed: March 29, 2004

Confirmation No.: unknown

For: LIGHTING APPARATUS

Docket No.: 1076.1101110

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Mail Stop Amendment
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 13th day of October, 2004.

By: _____

Brian N. Tufte

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

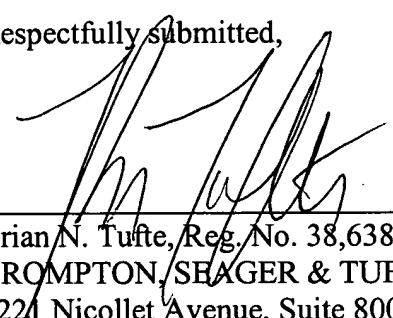
In accordance with 37 C.F. R. §1.98(a)(2), a copy of each non-US patent document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

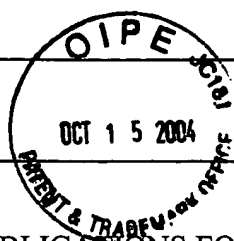
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Dated: October 13, 2004

Respectfully submitted,



Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



FORM PTO-1449 Page 1 of 4	Atty. Docket No.: 1076.1101110	Serial No.: 10/811,670
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Brian N. Tufte	
	Filing Date: March 29, 2004	Group Art: unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Brian N. Tufte	
	Filing Date: March 29, 2004	Group Art: unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
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FORM PTO-1449 Page 3 of 4 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1076.1101110		Serial No.: 10/811,670
	Applicant: Brian N. Tufte		
	Filing Date: March 29, 2004		Group Art: unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,917,288	06/1999	Feldman et al.			
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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	<u>Selected pages from http://www.aqualuce.com/montkaj.html</u> , 2 pages, downloaded June 3, 2000.
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FORM PTO-1449 Page 4 of 4 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1076.1101110		Serial No.: 10/811,670
	Applicant: Brian N. Tufte		
	Filing Date: March 29, 2004		Group Art: unknown

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	U.S. Patent Application No. 10/075,489, filed February 12, 2002, entitled, "Lighting Apparatus."
	U.S. Patent Application No. 10/147,097, filed May 13, 2002, entitled, "Lighting Apparatus."

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.